

NYSE: SIXJ  
AllianzIM Buffered  
Outcome ETFs

# AllianzIM U.S. Large Cap 6 Month Buffer10 Jan/Jul ETF

Institutional risk management for the retail investor

**A new approach to risk management** – Built to help mitigate risk and lower volatility, Buffered Outcome ETFs are designed to allow investors to participate in the growth potential of the equity markets up to a stated Cap, with an explicit downside Buffer.

## Risk aversion may lead to lost opportunities | BY THE NUMBERS:

- **72%** of investors are willing to give up some potential gains for a vehicle that protects from loss<sup>1</sup>
- 9 in 10 households have a **conservative or moderate risk tolerance**<sup>2</sup>
- **\$27.7 trillion in cash is currently on the sidelines** with little to no growth opportunity<sup>3</sup>

## Fund Details

Ticker	SIXJ
Investment Adviser	AllianzIM
Inception Date	12/31/21
Rebalance Frequency	Semiannually
Expense Ratio	0.74%
Intraday Indicative Value (IIV)	SIXJ.IV
CUSIP	00888H869
ISIN	US00888H8694
Exchange	NYSE Arca
Benchmark	S&P 500 Price Index

**The AllianzIM U.S. Large Cap 6 Month Buffer10 Apr/Oct ETF** (the “Fund”) seeks to match the returns of the S&P 500® Price Return Index (S&P 500 Price Index) up to a stated upside Cap, while providing a Buffer against the first 10% of the S&P 500 Price Index losses for the currently effective Outcome Period from January 1, 2022 to June 30, 2022. Each subsequent Outcome Period will be a six-month period from January 1 to June 30 or July 1 to December 31.

The Fund intends to invest substantially all of its assets in FLEXible EXchange® Options (FLEX Options) on the S&P 500 Price Index. FLEX Options are customizable, exchange-traded options contracts guaranteed for settlement by the Options Clearing Corporation. The outcome may only be realized for an investor who holds the shares on the first day of the Outcome Period and continues to hold them on the last day of the Outcome Period.

There is no guarantee that the outcomes the Fund seeks will be realized or that the Fund will achieve its investment objectives. Full extent of Caps and Buffers only apply if held for stated Outcome Period but are not guaranteed.

## Performance Summary (%)

	1yr	3yr	5yr	10yr	YTD	Inception
AllianzIM U.S. Large Cap 6 Month Buffer10 Jan/Jul ETF (NAV)	-	-	-	-	-	-
AllianzIM U.S. Large Cap 6 Month Buffer10 Jan/Jul ETF (Closing Price)	-	-	-	-	-	-
S&P 500 Price Index	-	-	-	-	-	-

Data as of 12/31/2021. Performance data quoted represents past performance. Past performance is not a guarantee of future results, and current performance may be higher or lower than performance quoted. Investment returns and principal value will fluctuate, and shares when sold or redeemed may be worth more or less than their original cost. You can obtain performance information, which is current through the most recent month-end, by visiting [www.allianzim.com](http://www.allianzim.com).

The S&P 500 Price Index is a broad measure of U.S. large-cap stocks and does not include the reinvestment of dividends. One cannot invest directly in an index.

**The Buffered Outcome ETFs investment strategies are different from more typical investment products, and the Funds may be unsuitable for some investors. It is important that investors understand the investment strategy before making an investment. For more information regarding whether an investment in the Funds is right for you, please see the prospectus including “Investor Considerations.”**



<sup>1</sup>The Allianz 3Q Quarterly Market Perceptions Study, September 2021 (online survey of 546 Americans with over \$200k in investible assets).

<sup>2</sup>Cerulli Report, U.S. Retail Investor Products and Platforms, 2021.

<sup>3</sup>Federal Reserve Statistical Release, September 23, 2021.

## Outcome Period Details

<b>Outcome Period</b>	1/1/2022 – 6/30/2022
<b>Reference Asset</b>	S&P 500 Price Index
<b>Starting Cap<sup>1</sup> (Gross/Net)</b>	5.30%/4.93%
<b>Starting Buffer<sup>1</sup> (Gross/Net)</b>	10%/9.63%
<b>Number of Holdings</b>	14 (excludes cash)

<sup>1</sup> Includes fees and expenses yet to be incurred over the Outcome Period.

## Top Holdings

SPX 06/30/2022 3098.02 C	XSP 06/30/2022 309.80 C
SPX 06/30/2022 3098.02 P	XSP 06/30/2022 309.80 P
SPX 06/30/2022 4289.56 P	XSP 06/30/2022 428.96 P
SPX 06/30/2022 4766.18 P	XSP 06/30/2022 476.62 P
SPX 06/30/2022 5018.79 C	XSP 06/30/2022 501.88 C
SPX 06/30/2022 6196.03 C	XSP 06/30/2022 619.60 C
SPX 06/30/2022 6196.03 P	XSP 06/30/2022 619.60 P

Subject to change.

## Risk management is in our DNA

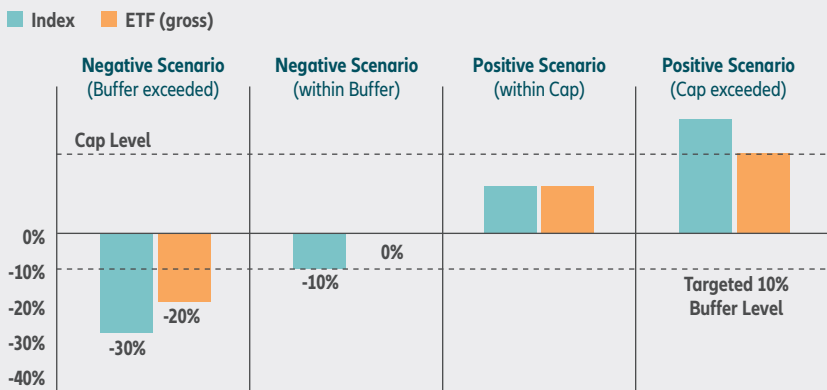
As part of one of the largest asset management and diversified insurance companies in the world, Allianz Investment Management LLC (AllianzIM) maintains a long track record of developing and executing risk management strategies with \$19.5 billion in AUM.<sup>2</sup>

Based in Minneapolis, our investment management is powered by the same proprietary in-house hedging platform that is used among affiliates to help manage >\$145 billion in assets for institutional and retail investors around the globe.<sup>2</sup>

AllianzIM is a registered investment adviser and wholly owned subsidiary of Allianz Life Insurance Company of North America. Both are part of Allianz Group.

<sup>2</sup> Values as of September 30, 2021.

## Possible outcomes across four market scenarios



The returns may only be realized if investors are holding shares at the beginning of the six-month Outcome Period and continue to hold them on the last day of the Outcome Period. If an investor purchases shares after the Outcome Period has begun or sells shares prior to the Outcome Period's conclusion, he/she may experience investment returns very different from those that the Fund seeks to provide. There is no guarantee that the Fund will successfully achieve its investment objective.

This graph is hypothetical and is provided merely to illustrate the outcomes that the Fund seeks to provide based upon the performance of the Index. It does not reflect the deduction of fees which would reduce performance.

The full extent of Caps and Buffers only apply if held for stated Outcome Period. There is no guarantee that the Cap will remain the same after the end of the Outcome Period. The Cap may increase or decrease and can change significantly. For current information on the Fund's Remaining Cap, Remaining Buffer, and downside exposure before Buffer, please visit [www.allianzim.com](http://www.allianzim.com).

Investing involves risks. Loss of principal is possible. The Funds face numerous market trading risks, including active markets risk, authorized participation concentration risk, buffered loss risk, cap change risk, capped upside return risk, correlation risk, liquidity risk, management risk, market maker risk, market risk, non-diversification risk, operation risk, options risk, trading issues risk, upside participation risk, and valuation risk. For a detailed list of fund risks see the prospectus. The Fund's investment objective intends to provide return attribute characteristics that are distinct from traditional strategies. It is important that an investor understand these characteristics before making an investment in the Fund.

Investors may lose their entire investment, regardless of when they purchase shares, and even if they hold shares for an entire Outcome Period. Full extent of Caps and Buffers only apply if held for stated Outcome Period and are not guaranteed. The Cap may increase or decrease and may vary significantly.

**Investors should consider the investment objectives, risks, charges, and expenses carefully before investing. For a prospectus with this and other information about the Fund, please call 877.429.3837 or download and review the prospectus. Read the prospectus carefully before investing.**

The Fund intends to invest substantially all of its assets in Flexible EXchange® Options ("FLEX Options") on the S&P 500® Price Return Index ("S&P 500 Price Index"). FLEX Options are customizable exchange-traded options contracts guaranteed for settlement by the Options Clearing Corporation. The Fund uses FLEX Options to pursue a Buffered Outcome strategy that seeks to achieve investment outcomes based upon the performance of an underlying security or index at the end of the Outcome Period. The outcomes sought by the Fund, which include the Buffer and Cap ("Buffer and Cap"), are based upon the performance of the S&P 500 Price Index over the period of January 1, 2022 through June 30, 2022. This period is referred to as the "Outcome Period." Following this initial Outcome Period, each subsequent Outcome Period will be a six-month period from January 1 to June 30 or July 1 to December 31. The Fund will not terminate after the conclusion of the Outcome Period. After the conclusion of the Outcome Period, another will begin. There is no guarantee that the outcomes sought for an Outcome Period will be realized. It is expected that the Cap will rise or fall from one Outcome Period to the next. There is no guarantee that the Cap will remain the same upon the conclusion of the Outcome Period.

The Fund only seeks to provide shareholders who hold shares for the entire Outcome Period with a buffer against the first 10% of S&P 500 Price Index losses (based upon the value of the S&P Price Index at the time the Fund entered into the FLEX Options at or near the beginning of the Outcome Period) during the Outcome Period. You will bear all S&P 500 Price Index losses exceeding 10% on an expected one-to-one basis. The buffer is provided prior to taking into account annual Fund management fees equal to 0.74%

of the Fund's daily net assets, transaction fees, and any non-routine or extraordinary expenses incurred by the Fund. A shareholder who purchases shares at the beginning of the Outcome Period may lose their entire investment. While the Fund seeks to limit losses to 90% for shareholders who hold shares for the entire Outcome Period, there is no guarantee it will successfully do so.

The outcomes are based on the Fund's net asset value, the per share value of the Fund's assets ("NAV"), at the beginning of the Outcome Period. The Fund's assets are expected to be principally composed of FLEX Options, the value of which is derived from the performance of the underlying reference asset, the S&P 500 Price Index. However, because the value of the underlying FLEX Options is affected by, among other things, changes in the value of the S&P 500 Price Index, changes in interest rates, changes in the actual and implied volatility of the S&P 500 Price Index and the remaining time until the FLEX Options expire, the Fund's NAV will not directly correlate on a day-to-day basis with the returns experienced by the S&P 500 Price Index. While the Fund's investment adviser, Allianz Investment Management LLC, generally anticipates that the Fund's NAV will move in a similar direction as the S&P 500 Price Index, the Fund's NAV may not increase or decrease at the same rate as the S&P 500 Price Index, and it is possible they may move in different directions. During the Outcome Period, the movement of the Fund's NAV is not anticipated to match that of the S&P 500 Price Index.

The Fund's website, [www.allianzim.com](http://www.allianzim.com), provides important Fund information (including Outcome Period start and end dates and the Cap and Buffer), as well as information relating to the potential outcomes of an investment in the Fund on a daily basis. If you are contemplating purchasing shares, please visit the website. Investors considering purchasing shares after the Outcome Period has begun or selling shares prior to the end of the Outcome Period should visit the website to fully understand potential investment outcomes.

The S&P 500® Index is a product of S&P Dow Jones Indices LLC or its affiliates ("SPDJI") and Standard & Poor's Financial Services LLC ("S&P"), and has been licensed for use by AllianzIM. Standard and Poor's® and S&P® are registered trademarks of Standard and Poor's financial Services LLC ("S&P"); Dow Jones® is a registered trademark of Dow Jones Trademark Holdings LLC ("Dow Jones"); and these trademarks have been licensed for use by SPDJI and sublicensed for certain purposes by AllianzIM. AllianzIM U.S. Large Cap 6 Month Buffer10 Jan/Jul ETF is not sponsored, endorsed, sold or promoted by SPDJI, Dow Jones, S&P, or their respective affiliates, and none of such parties make any representation regarding the advisability of investing in such product(s) nor do they have any liability for any errors, omissions, or interruptions of the Index.

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